

March 2017

**Chief Executive's Unit - Mark Brookes**

**CE\_R01 Failure to deliver successful elections**

<b>Category:</b> Reputational	<b>Corporate Priority:</b> Dacorum Delivers	<b>Risk Owner:</b> Mark Brookes	<b>Portfolio Holder:</b> Neil Harden	<b>Tolerance:</b> Treating
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Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score
4 Very Likely	4 Severe	16 Red	1 Very Unlikely	4 Severe	4 Green

Consequences	Current Controls	Assurance
<p>Legal sanction and re-run of election at DBC expense. Loss of faith in elections process. Intense scrutiny on future referenda Potential 'Failed service' designation from Electoral Commission. Personal Financial liability for Returning Officer. Invalid or unsubstantiated election Results. Dissatisfaction of key stakeholders with the borough council elections and the process for future referenda.</p>	<ul style="list-style-type: none"> <li>- Election Preparation Plan and Risk Assessment</li> <li>- Establish Election Team, allocate resources, audit and prepare equipment</li> <li>- Oversee actual Election</li> <li>- Check Results</li> <li>- Conclude election paperwork</li> <li>- Clear and Store election equipment</li> <li>- Review outcome of election and lessons learnt</li> </ul>	<p>The County Council and Parliamentary elections (May/June 2107) were conducted successfully which demonstrates the effectiveness of a comprehensive action plan which is monitored and updated every two weeks during election preparation by the Chief Executive, as the Returning Officer, the Solicitor to the Council, the Corporate Director (Finance and Operations) and the Group Manager (Democratic Services) as the three Deputy Returning Officers.</p>

**Sign Off and Comments**

**Sign Off Complete**

The County Council and Parliamentary elections were both conducted successfully and with improved performance in terms of the timeliness of declarationns, which shows that the existing control measures are working well.

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