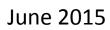
Housing Landlord - Elliott Brooks HL F01 Failure to closely monitor operational and financial factors affecting the delivery of the HRA Business Plan **Corporate Priority:** Category: **Risk Owner:** Portfolio Holder: Tolerance: **Cllr Margaret Griffiths** Financial **Flliott Brooks** Treating Residual Probability **Inherent Probability Inherent Impact Inherent Risk Score Residual Impact Residual Risk Score** 2 8 2 2 4 Unlikely Amber Very Unlikely Medium Severe Green **Current Controls Assurance** Consequences Delivery of the Business Plan would not be achieved if Regular and then formal end of year review of Business **HRA Business Plan** income and financial control is not closely managed Plan in partnership with Finance. Any policy changes or govt announcements that may impact the plan or its Signed off by Cabinet assumptions are quickly analysed and refleted into the **Business Plan** This enables for long term financial viability to always be visible and if there are foreseen issues in cetain years programmes can be alterred as needed or issues taken to mitigate **Sign Off and Comments**

Sign Off Complete

Recent government announcements elating to both Social Rent Policy and RTB policy will have a significant impact on the HRA Business Plan in the short, medium and long term. Officers are currently 'modelling' scenarios to present to Members with the intention to revise the HRA Business Plan and take to TLC/Scrutiny/Cabinet in October 2015

HL_F02 Failure to closely monitor operational and financial factors relating to the delivery of the Council's Homelessness Service					
Category:	Corporate Priority:		Risk Owner:	Portfolio Holder:	Tolerance:
Financial	Affordable Housing		Elliott Brooks	Cllr Margaret Griffiths	Treating
Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score
3	4	12	2	4	8
Likely	Severe	Red	Unlikely	Severe	Amber
Consequences		Current	Controls	Assurance	







DBC Could be open to legal challenge if the service is not Monthly financial monitoring with Group Manager and fit for purpose due to lack of resources for this statutory service. Health & Safety risks for clients if not provided with TA and left to sleep rough. Increase in homeless presentations would have severe impact on budget.

accountant, team leader monitors weekly B & B spend, and monthly reporting of stats including numbers of cases seeking Housing Advice and presentations as homeless.

Recently Agreed New Homelessness Strategy

Recent Study carried out to report to CMT regarding trends and demand for the DBC Homelessness Service as a comparitive to 12 months ago

Sign Off and Comments

Sign Off Complete

Rather than a full review which will take place in 2016 the Homelessness Strategy will be 'updated' over the coming months to reflect certain legislation and procedural changes. No strategic alteration will take place.

Failure of the Total Asset Management Contractor to deliver the five strategic objectives **Corporate Priority:** Portfolio Holder: Tolerance: **Risk Owner:** Category: Financial Affordable Housing **Elliott Brooks Cllr Margaret Griffiths** Transferring **Inherent Probability Inherent Impact Inherent Risk Score Residual Probability Residual Impact** Residual Risk Score 3 12 4 8 Likely Unlikely Severe Red Severe Amber **Current Controls** Consequences Assurance Regular contract review through a matrix of operational, Strategic Core Group Minutes Inability of the contractor to secure contract extensions and associated costs of managing the collation and financial and strategic core group meetings, with early **Key Performance Indicators** interrogation of repairs data and stock condition warning mechanisms and agreed actions to address any information. Reputational issues in respect of the areas of poor performance. Monthly monitoring of key anticipated benefits to stakeholders not being realised. performance indicators to identify any trends which Operational consequences if the gas servicing and could impact upon the achievement of the key strategic installation contract secures contract extensions due to indicators. the co-location of the operational teams. Additional costs and time to procure a new contract. **Sign Off and Comments**

Sign Off Complete

Year 1 completed and successful review resulted in 1 year rolling extension awarded



HL_I03 Failure to adopt a service specfic best practice approach to Health and Safety (Housing Landlord						
Category: Corporate Priority:		Risk Owner:	Portfolio Holder:	Tolerance:		
Infrastructure	ucture Safe and Clean Environment		Elliott Brooks	Cllr Margaret Griffiths	Treating	
Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score	
3 Likely	4 Severe	12 Red	2 Unlikely	4 Severe	8 Amber	
Consequences		Current	Controls	Assurance		
Death or injury to staff; residents or contractors' staff; reputation; litigation and charges of corporate manslaughter.		housing service covering service users and staff eg. fire safety and lone working; clear landings policy and procedures; estate inspections schedule Corporate H&S policy under review. Ongoing training for staff in key areas. Directorate Health & Safty Committee Quarterly at DMT Standing Items on Team Meeting Agendas Quarterly Housing Fire Safety Group attended by Fire Service		All Risk Assessments / Notes	s of meetings available for	
Sign Off and Comments						
Sign Off Complete						

HL_R01 Failure to identify the needs and risks of residents living in sheltered housing and ensure that they have access to support appropriate to their needs

Category:	Corporate Priority:		Risk Owner:	Portfolio Holder:	Tolerance:
Reputational	Affordable Housing		Elliott Brooks	Cllr Margaret Griffiths	Treating
Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score
3	4	12	1	4	4
Likely	Severe	Red	Very Unlikely	Severe	Green
Consequences		Current	Controls	Assurance	







Appropriate Support to individuals living in sheltered accommodation and if not given there is risk to health	Supported Housing Operational Procedures.	Supported Housing Officer Procedures				
and wellbeing of some of our most vulnerable residents	Partnership working with other agencies.					
	Effect use of SPRINT – sheltered housing IT system. This allows for more robust record keeping and management of vists and support plans					
	Line management structure within supported housing including performance management structure (1:1s and appraisals).					
Sign Off and Comments						

Sign Off Complete

HL_R03 Failure to Deliver the Council's New Build Programme						
Category:	Corporate Priority:		Risk Owner:	Portfolio Holder:	Tolerance:	
Reputational	Affordable Housing		Elliott Brooks	Cllr Margaret Griffiths	Treating	
Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score	
2	4	8	1	4	4	
Unlikely	Severe	Amber	Very Unlikely	Severe	Green	
Consequences		Current	Current Controls Assurance		ance	
Reputational and financial impact with public and HCA regarding grant allocations and due to the high profile of the project		Monthly Financial meetings Fortnightly AD update, monteam concentrating solely of This allows full debate on ke finance, procurement at the discussions	thly project group, seconded n development. y issues and involves legal,	nd involves legal,		
Sign Off and Comments						
Sign Off Complete						



New Build Development Programme currently being reviewed due to government announcements which will effect the HRA Business Plan

