

Operational Risk Register

Housing Landlord

Quarter 2, 2012

Consequences	Inherent Probability	Inherent Impact	Inherent Risk Score	Controls	Residual Probability	Residual Impact	Residual Risk Score	Assurance
Housing & Regeneration >> Housing Landlord - Elliott Brooks								
HL_F01 - Failure to closely monitor operational and financial factors affecting the delivery of the HRA Business Plan								
Category of risk: Financial Risks			Portfolio Holder: Cllr Margaret Griffiths - Housing					
	2 - Unlikely	4 - Severe	8 - Amber	Quarterly review of Business Plan in partnership with Finance	1 - Very Unlikely	2 - Medium	2 - Green	
Status of this risk: Treating			Corporate Priority:					
Risk Owner Comments: Mid Year review to include PH Holders Finance & Housing. Prep work taking place between housing & finance to update info on 2012/13 actual year to date								
HL_I03 - Failure to adopt a service specific best practice approach to Health and Safety (Housing Landlord)								
Category of risk: Infrastructure Risks			Portfolio Holder: Cllr Margaret Griffiths - Housing					
Death or injury to staff; residents or contractors' staff; reputation; litigation and charges of corporate manslaughter.	3 - Likely	4 - Severe	12 - Red	Service specific H & S procedures applied to sheltered housing service covering service users and staff eg. fire safety and lone working; clear landings policy and procedures; estate inspections schedule.. Corporate H&S policy under review. Ongoing training for staff in key areas. Directorate Health & Safty Committee Quarterly at DMT Standing Items on Team Meeting Agendas	2 - Unlikely	4 - Severe	8 - Amber	
Status of this risk: Tolerating			Corporate Priority: Safe and Clean Environment					
Risk Owner Comments: Quarter 2 has seen a lot of work in Housing Lndlord relating to the programme and monitoring of Fire Risk Assessments in order to ensure all actions identified are prioritised and completed in timescales								
HL_I04 - Failure to upgrade the housing IT management system and Orchard Upgrade implementation project								
Category of risk: Infrastructure Risks			Portfolio Holder: Cllr Margaret Griffiths - Housing					
An unsupported system would result in significant management risks; unable to develop the service and become	4 - Very Likely	4 - Severe	16 - Red	Project Group progressing - budget allocated Role out of some modules started June 12	2 - Unlikely	4 - Severe	8 - Amber	

Operational Risk Register

Housing Landlord

Quarter 2, 2012

Consequences	Inherent Probability	Inherent Impact	Inherent Risk Score	Controls	Residual Probability	Residual Impact	Residual Risk Score	Assurance
more customer focussed.								
Status of this risk: Tolerating		Corporate Priority: Dacorum Delivers						
Risk Owner Comments: The upgrade of Orchard has started and approximately 50% completed								
HL_M01 - Failure to have systems in place which exert effective financial and operational control over current contracts in the lead-in period to 2014 expiry								
Category of risk: Marketplace Risks		Portfolio Holder: Cllr Margaret Griffiths - Housing						
Poor audit external and internal reports; reputation; unable to demonstrate value for money; lack of credibility, less improvements to stock / homes	3 - Likely	4 - Severe	12 - Red	- Regular meetings with contractors and housing accountant. - Budget transparency and monthly monitoring in place. - Post inspection checks of charges undertaken. - Support from AD and Corporate Director. Procurement Project Plan includes Exit Strategy from Current Contract - Initial related discussions started	1 - Very Unlikely	4 - Severe	4 - Green	
Status of this risk: Tolerating		Corporate Priority: Dacorum Delivers						
Risk Owner Comments: Regular contract meetings with all major contract partners continue.								
HL_R01 - Failure to adapt to the new regulatory framework and requirements for tenant scrutiny								
Category of risk: Reputational Risks		Portfolio Holder: Cllr Margaret Griffiths - Housing						
	3 - Likely	3 - High	9 - Amber	Recent Review of Tenant Involvement Structure included the introduction of a Tenant Scrutiny Panel by April 2013	1 - Very Unlikely	3 - High	3 - Green	
Status of this risk: Treating		Corporate Priority:						

Operational Risk Register

Housing Landlord

Quarter 2, 2012

Consequences	Inherent Probability	Inherent Impact	Inherent Risk Score	Controls	Residual Probability	Residual Impact	Residual Risk Score	Assurance
Risk Owner Comments: TLC review has been completed and the recruitment of a Tenant Scrutiny Panel will take place in the 3rd Quarter as well as a review of the MFG and the introduction of a Housing Management Sub-Group								
HL_R02 - Failure to deliver key milestones on time within the Housing Repairs, Maintenance and Improvement Procurement Project								
Category of risk: Reputational Risks			Portfolio Holder: Cllr Margaret Griffiths - Housing					
	3 - Likely	4 - Severe	12 - Red	Approved PID Project Plan on Target Report for Cabinet submitted recommending progression direction	2 - Unlikely	2 - Medium	4 - Green	
Status of this risk: Treating			Corporate Priority:					
Risk Owner Comments: Project On Track - Report produced for October Cabinet recommending a delivery model and procurement strategy.								