

Neighbourhood Delivery - David Austin						
ND_F01 Failure to monitor the variation in projected levels of income for recyclables.						
Category:	Corporate Priority: Dacorum Delivers		Risk Owner: David Austin	Portfolio Holder: Cllr Julie Laws	Tolerance: Treating	
Inherent Probability	Inherent Impact	Inherent Risk Score	Residual Probability	Residual Impact	Residual Risk Score	
4 Very Likely	3 High	12 Red	3 Likely	2 Medium	6 Amber	
Consequences		Current Controls		Assurance		
Budget overspends Difficulty in predicting budgets		- Regular meetings with Accountant - Organising longer term contracts/consortia		Monthly budget monitoring reports		
Sign Off and Comments						
Sign Off Complete						

**ND\_F02 Lack of budget to develop services**

<b>Category:</b> Financial	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Julie Laws	<b>Tolerance:</b> Tolerating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
4 Very Likely	3 High	12 Red	3 Likely	2 Medium	6 Amber
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Services performance ratings could suffer More complaints Demotivated staff		- Medium Term Financial Plan - Robust business cases put in place to justify expenditure - Linking spend to council priorities			
<b>Sign Off and Comments</b>					
Sign Off Complete					

**ND\_F03 Lack of Capital Funding**

<b>Category:</b> Financial	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Julie Laws	<b>Tolerance:</b> Treating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
2 Unlikely	2 Medium	4 Green	2 Unlikely	1 Low	2 Green
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Unable to deliver improved service Failure to take advantage of opportunities for income generation		- Full research and business case - Opportunities with Partners			
<b>Sign Off and Comments</b>					
Sign Off Complete					

**ND\_I01 Failure to achieve Headline Service Objectives**

<b>Category:</b> Infrastructure	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Julie Laws	<b>Tolerance:</b> Tolerating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
2 Unlikely	2 Medium	4 Green	1 Very Unlikely	1 Low	1 Green
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Poor service quality, lessened resident satisfaction.		<ul style="list-style-type: none"> <li>- Service Plan ownership at AD level and regular reviews with GMs.</li> <li>- Staff involved in setting milestones</li> </ul>			
<b>Sign Off and Comments</b>					
Sign Off Complete					

**ND\_I02 Failure to manage relationships with Service areas across the Council**

<b>Category:</b> Infrastructure	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Julie Laws	<b>Tolerance:</b> Tolerating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
3 Likely	2 Medium	6 Amber	2 Unlikely	2 Medium	4 Green
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Duplication of activities, resident dissatisfaction.		<ul style="list-style-type: none"> <li>- New Corporate working groups</li> <li>- Talking point meetings</li> <li>- Cross cutting service plan objectives</li> </ul>			
<b>Sign Off and Comments</b>					
Sign Off Complete					

**ND\_I03 Failure to manage sickness levels and staff retention**

<b>Category:</b> Infrastructure	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Julie Laws	<b>Tolerance:</b> Treating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
3 Likely	3 High	9 Amber	3 Likely	2 Medium	6 Amber
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Increase use of agency staff Higher Complaints Cost implications		<ul style="list-style-type: none"> <li>- A robust system to manage sickness and absence</li> <li>- Sickness management</li> <li>- Programme of inoculation against diseases</li> <li>- Robust provider of temporary labour</li> </ul>			
<b>Sign Off and Comments</b>					
Sign Off Complete					

**ND\_I04 Lack of fuel due to shortages, unavailability or price**

<b>Category:</b> Infrastructure	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Julie Laws	<b>Tolerance:</b> Terminating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
2 Unlikely	1 Low	2 Green	1 Very Unlikely	1 Low	1 Green
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Service disruption Health hazards Staffing issues		- National agreements in place			
<b>Sign Off and Comments</b>					
Sign Off Complete					

**ND\_M01 Failure to respond to opportunities presented by Localism Bill**

<b>Category:</b> Marketplace	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Neil Harden	<b>Tolerance:</b> Tolerating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
3 Likely	3 High	9 Amber	2 Unlikely	3 High	6 Amber
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Missed efficiencies from inappropriate allocation of resources		- Analysis of localism bill proposals			
<b>Sign Off and Comments</b>					
Sign Off Complete					

**ND\_R01 Lack of capacity to deliver Neighbourhood Action**

<b>Category:</b> Reputational	<b>Corporate Priority:</b> Dacorum Delivers		<b>Risk Owner:</b> David Austin	<b>Portfolio Holder:</b> Cllr Neil Harden	<b>Tolerance:</b> Tolerating
<b>Inherent Probability</b>	<b>Inherent Impact</b>	<b>Inherent Risk Score</b>	<b>Residual Probability</b>	<b>Residual Impact</b>	<b>Residual Risk Score</b>
3 Likely	2 Medium	6 Amber	2 Unlikely	1 Low	2 Green
<b>Consequences</b>		<b>Current Controls</b>		<b>Assurance</b>	
Failure to on priorities identified at a local level by residents		<ul style="list-style-type: none"> <li>- Create new apprentice position</li> <li>- Create generic JDs for ASB and Neighbourhood Action</li> <li>- Regular appraisals to assess individual needs/requirements</li> </ul>			
<b>Sign Off and Comments</b>					
Sign Off Complete					