

Operational Risk Report

Regulatory Services

Quarter 1, 2012

Consequences	Inherent Probability	Inherent Impact	Inherent Risk Score	Controls	Residual Probability	Residual Impact	Residual Risk Score	Assurance
Finance & Governance >> Legal Democratic & Regulatory - Steve Baker								
LDR_M01 - Failure to reach our most vulnerable citizens, in particular the elderly and disabled, in the provision of housing assistance, (i.e. grant aid and loan schemes)								
Category of risk: Marketplace Risks			Portfolio Holder: Cllr Neil Harden - Residents & Regulatory Services					
Leads to essential repairs and disabled adaptations not being undertaken, resulting in (non Council owned) dwellings remaining unfit for purpose and a reduction in the quality of the housing stock	3 - Likely	4 - Severe	12 - Red	<ul style="list-style-type: none"> - The housing assistance scheme being completely reviewed to offer a comprehensive package - The Private Sector Housing Renewal Strategy being reviewed to reflect this - IT system being re-programmed to capture more sophisticated performance data - Staff training - Phase II realignment improving resource allocation - Partnership opportunities being sought - Equity release schemes being researched 	2 - Unlikely	3 - High	6 - Amber	
Status of this risk: Tolerating			Corporate Priority: Safe and Clean Environment					
Risk Owner Comments:								
LDR_R04 - Failure to have a robust system in place to deal with contingencies								
Category of risk: Reputational Risks			Portfolio Holder: Cllr Neil Harden - Residents & Regulatory Services					
Leads to the inability to respond to a civil contingency or an incident that affects the continuity of the Council's operations, resulting in non-compliance with the Council's statutory and other obligations, service disruptions and system failures	4 - Very Likely	2 - Medium	8 - Amber	<ul style="list-style-type: none"> - Training programme for officers who would be involved in the emergency plan in place - regular training exercises - regular testing of business continuity call out - Existing arrangements with Herts CC - Up to date business continuity plans in place 	3 - Likely	2 - Medium	6 - Amber	
Status of this risk: Tolerating			Corporate Priority: Dacorum Delivers					

Operational Risk Report

Regulatory Services

Quarter 1, 2012

Consequences	Inherent Probability	Inherent Impact	Inherent Risk Score	Controls	Residual Probability	Residual Impact	Residual Risk Score	Assurance
Risk Owner Comments:								
LDR_R06 - Failure to maintain an effective business continuity plan for all relevant service areas								
Category of risk: Reputational Risks			Portfolio Holder: Cllr Neil Harden - Residents & Regulatory Services					
Disruption caused by service failure leading to hardship for individuals, potential loss of business and significant reputational damage	3 - Likely	4 - Severe	12 - Red	- Business continuity plans in place, revised at March 2011. - Annual review and update process. - Corporate business continuity process and procedures set out in emergency response toolkit.	1 - Very Unlikely	4 - Severe	4 - Green	
Status of this risk:		Corporate Priority: Dacorum Delivers						
Risk Owner Comments:								